

# FY18 ANNUAL GRANT REPORT



The City's Annual Grant Report provides information regarding grants completed within a specific fiscal year (Section 1). Some of these grants span multiple years so the year in which the grant is completed is only significant for reporting purposes. Also, included in the report are grant expenditures made in a fiscal year (Section 2).

## **SECTION 1:**

### **Grant Projects Completed in FY18**

- 20 grant projects completed
- \$44.2M in grant funding spent
- City match \$11.1M





The Transportation Investment Generating Economic Recovery (TIGER) grant from the **U.S. Department of Transportation** funded portions of Raleigh Union Station including a new multi-modal transit center with a new terminal building, two dedicated passenger tracks, and a high-level passenger platform with level boarding to comply with requirements of the Americans with Disabilities Act of 1990 (ADA).

The High-Speed Intercity Passenger Rail (HSIPR)-funded elements completed through the **N.C. Department of Transportation** improved the efficiency of freight traffic through Downtown Raleigh, which includes the relocation of freight tracks used by CSX, NS, and NCRR, as required to support the construction of the new station.





The federal **Corporation for National and Community Service** provided grant funding for the Foster Grandparent Program (FGP). This program places adults ages 55 years and older in PreK – 5th grade classes where they work one-on-one, mentoring and tutoring students to help them meet literacy, math and social development goals. School administrators praise their Foster Grandparents as "invaluable resources to the school."

**FGP Outcomes:**

- 77 volunteer Foster Grandparents provided support to 122 students
- 93% of students tested in grades K-5 showed improvement in literacy and/or math



The **U.S. Department of Justice** provided funding for ballistic vests for police officers to wear on duty. Ballistic vests must be replaced at five-year intervals because the protective materials inside the vests lose their effectiveness over time.



Justice Assistance Grant (JAG) funding from the **U.S. Department of Justice** was used to conduct two problem-oriented policing projects. The projects were designed to maintain a safer environment in select areas of Raleigh experiencing a disproportionate amount of crime and quality-of-life concerns and to update the camera equipment and software used by the Raleigh Police Department's Technical Assistance Response Unit.

Funding from the **N.C. Department of Transportation Governor's Highway Safety Program** allowed the DWI Enforcement Unit to continue the fifth year of its mission to decrease the number of deaths and serious injuries caused by impaired driving. The unit also engaged in many activities designed to increase public awareness of the dangers of impaired and distracted driving.





The federal **Office of National Drug Control Policy** designated the Raleigh-Durham area as a High Intensity Drug Trafficking Area (HIDTA) in 2007 and provided funding to conduct multi-jurisdictional operations to combat drug trafficking and money laundering. Currently, HIDTA funding pays overtime to task force detectives who work on these operations.







The **N.C. Governor's Highway Safety Program** paid training and travel expenses for the police officer who serves as the BikeSafe Motorcycle Safety and Awareness program's regional coordinator. This grant provides funding for the regional coordinator to conduct meetings with BikeSafe coordinators from other police departments. It also allows for continuing education opportunities for the regional coordinator

Assistance to Firefighters Grant (AFG) funding from the **Department of Homeland Security** allowed for the installation of an automated interior fire sprinkler system at two fire stations. The grant offered the opportunity to exceed current standards and retrofit old facilities with systems that maximize infrastructure resiliency.



Grant funding from the **Department of Homeland Security** allowed for the purchase of critical hazmat equipment including an LED light package to replace an outdated and sometimes not-operational lighting system, two colorimetric drager pumps to detect chemicals, a wireless head-com system for communication, Rapid Intervention Team Pak (RIT) to provide safety breathing equipment in a mayday situation, and a thermal imaging camera.



The Urban Search and Rescue Training grant from the **N.C. Department of Public Safety** was used to fund two swiftwater/flood rescue boat operator training classes and to purchase a search camera.



The City's 2016-2020 Consolidated Plan contained three priorities for use of federal Community Development Block Grant (CDBG), HOME Investment Partnership Program (HOME), and Emergency Solution Grant (ESG) funding from the **U.S. Department of Housing and Urban Development** as well as local housing funds. Below are the three priorities and corresponding accomplishments:

**1. Increase the supply of affordable housing**

Affordable rental developments completed resulted in an additional 398 units.

**2. Enhance the homeless to housing continuum**

CDBG pass-through grant funds provided to the South Wilmington Street Men's Shelter for shelter operations benefitted 1,665 persons. Sixty-two households received assistance with Rapid Re-Housing and 51 households with Homelessness Prevention.

**3. Revitalize neighborhoods**

Training was provided to 26 low-income youth in a construction trades apprenticeship program and 218 households in homebuyer training. Also, 288 households received assistance from the CDBG-funded Community Enhancement Grant program.

Additionally, CDBG grant funding contributed to the progress of both the Martin-Haywood and East College Park Infrastructure projects.

**Source:** Raleigh's FY18 Consolidated Annual Performance and Evaluation Report



Grant funding from the **Federal Transit Authority** provides annual funding for transit planners as well as contractual services for transit studies and plan development including:

- **Public Participation Plan Update** - guided the overall public outreach program
- **Fare Equity Analysis** - ensured Title VI requirements and environmental justice principles were met before implementing free service to youth ridership
- **American Disabilities Act Plan** - included a description of current ADA paratransit services, current and proposed operating policies, comparison of paratransit policies to ADA requirements, and implementation plan and performance standards

Review of previous recommendations for downtown transit alignments (Wake County Transit Plan, Downtown Plan, BikeRaleigh Plan, and the Raleigh 2030 Comprehensive Plan) using an overlay map geographically showing how the various plans either work together or at odds with one another.





As a result of the Transportation Demand Management (TDM) grant from the **Triangle J Council of Government**:

- 196 contacts received regular Commute Smart Raleigh communications
- 90 employers designated Employer Transportation Coordinators
  - » 9 employers offered GoPasses to their employees including Campbell University, City of Raleigh, State of NC, Red Hat, Kimley-Horn, Marbles Kids Museum, Shaw University, Meredith College, DRA Safety Ambassadors
  - » 3 employers (Citrix, Progress Energy, Pendo) provided other commuter benefits



The City of Raleigh partnered with the **John Rex Endowment** and Wake County Public School System to upgrade and add amenities to the playgrounds at Poe and Walnut Creek Elementary Schools. This partnership seeks to eliminate "play deserts" by opening the schools' playgrounds to Raleigh citizens during non-school hours.



The City of Raleigh in partnership with the **NC Department of Public Safety** and **Wake County's Juvenile Crime Prevention Council** engaged approximately 600 at-risk youth in after-school programming, including social skill training, recreation services and enrichment programming.

The **Burt's Bees Greater Good Foundation Grant** provided for creation of the Pollinator Garden at Raleigh Union Station. Grant funds were applied to mound shaping, erosion control and seeding of the Pollinator Garden during construction. The garden has an elegant blend of phytoremediation and pollination plant species that cleans the soil and provides a natural habitat for all pollinators.

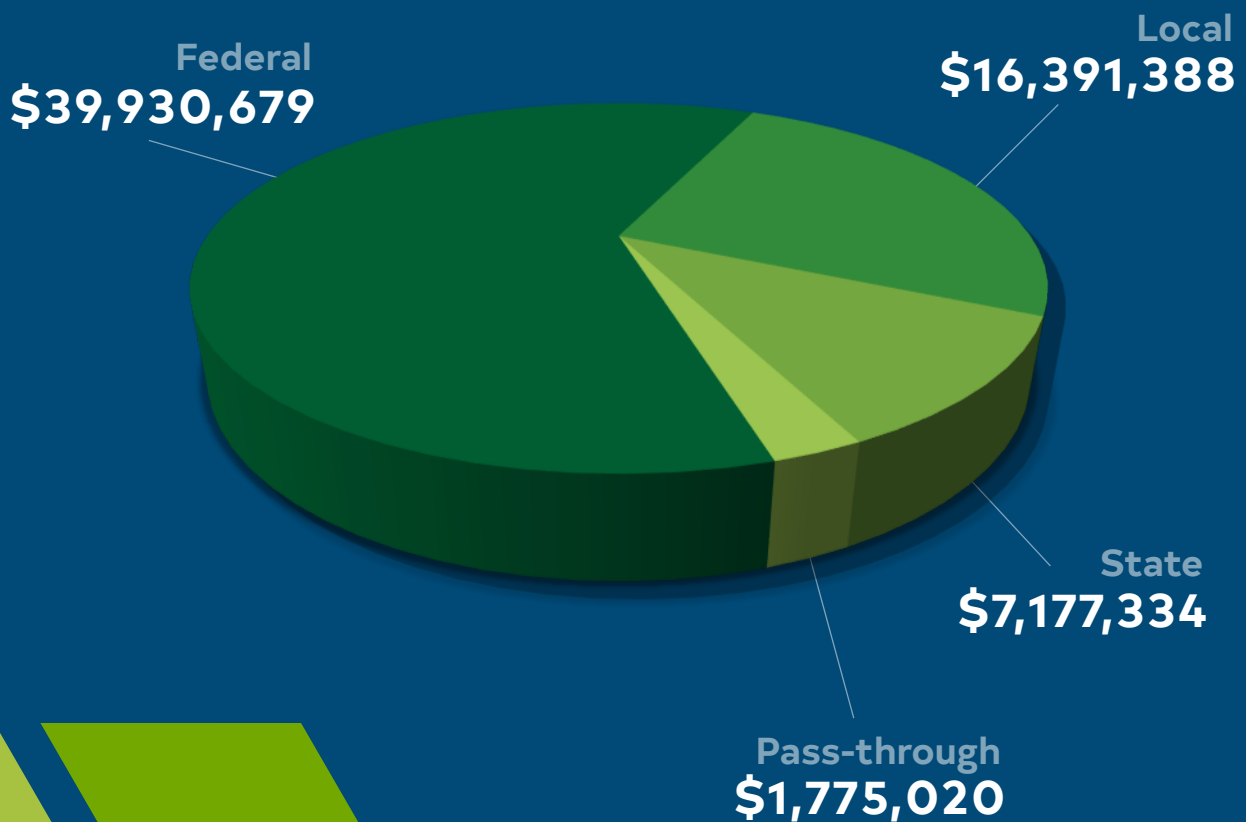




## SECTION 2:

### Grant Expenditures in FY18

During FY18, the City expended \$65 million in grant funding including approximately \$40 million from federal sources, \$16 million from state sources and \$7 million in local funding. The City also passed-through \$1.7 million to subrecipients who performed portions of the scope of work or assisted in helping achieve objectives of the grant project or program. Additional information on grant expenditures can be found in the FY18 Comprehensive Annual Financial Report Schedule of Expenditure of Federal Awards which is attached to this report.





**SCHEDULE OF EXPENDITURES OF FEDERAL AND STATE AWARDS  
FOR THE FISCAL YEAR ENDED JUNE 30, 2018**

GRANTOR/PASSED-THROUGH GRANTOR/GRANTOR PROGRAM AND/OR PROJECT TITLE	Federal CFDA Number	Grant Number	Fed. (Direct & Pass-through) Expenditures	State Expenditures	Pass-through to Subrecipients	Local (1)
<b>EXPENDITURES OF FEDERAL AWARDS:</b>						
<u>U.S. DEPARTMENT OF TRANSPORTATION</u>						
Direct Programs: Federal Transit Administration:						
Federal Transit Cluster:						
Capital Assistance - FTA (5339)	20.507	NC-34-0016	\$ 1,157,013	\$ 105,060	\$ -	\$ 184,193
Federal Transit Buses	20.507	NC-34-0005-00	148,701	-	148,701	-
Federal Transit Cap & Maint 2015	20.507	NC-90-X593-00	119,095	4,466	-	25,310
Federal Transit Cap & Maint 2016	20.507	NC-90-X625	531,892	16,600	-	116,374
Federal Transit Cap & Maint 2017	20.507	NC-90-X638	1,746,412	(4,062)	-	440,665
Federal Transit Cap & Maint 2018	20.507	1065-2018-2	3,963,657	123,899	-	977,239
Planning Assistance - Section 9 (5307)	20.507	NC-90-X593-00	-	-	-	16,257
Planning Assistance - Section 9 (5307)	20.507	18-08-101	298,505	-	-	74,626
Planning Assistance - Section 9 (5307)	20.507	NC-90-X638	281,197	-	-	70,300
Total Federal Transit Cluster:			8,246,472	245,963	148,701	1,904,964
Transit Services Programs Cluster:						
Enhanced Mobility	20.513	NC-16-X013-00	46,654	-	46,654	-
Enhanced Mobility	20.513	NC-2016-035-00	402,426	-	455,479	53,053
Enhanced Mobility	20.513	NC-2017-055-00	279,419	-	315,452	36,033
Job Access Remote Commute 2013	20.516	NC-37-X031-00	16,430	-	16,430	-
Job Access Remote Commute 2014	20.516	NC-37-X031-01	16,430	-	16,430	-
Job Access Remote Commute 2015	20.516	NC-37-X031-02	18,679	-	18,679	-
New Freedom 2014	20.521	NC-57-X016-01	27,916	-	-	-
New Freedom 2015	20.521	NC-57-X016-02	26,487	-	26,487	-
Total Transit Services Programs Cluster:			834,441	-	895,611	89,086
Planning Assistance - Section 9 (5303)	20.505	18-08-101	299,200	37,400	-	37,400
Direct Programs: Federal Railroad Administration:						
Highway Planning and Construction Cluster:						
Raleigh Union Station - Phase I	20.933	FR-TII-0020-13-01-01	11,552,390	1,864,824	-	-
Raleigh Union Station - Phase I Terminal Building	20.933	FR-TII-0028-14-01-02	4,555,213	-	-	3,093,689
Subtotal Highway Planning and Construction Cluster:			16,107,603	1,864,824	-	3,093,689
Total Direct Programs U.S. Department of Transportation:			25,487,716	2,148,187	1,044,312	5,125,139
Passed-Through North Carolina Department of Transportation: Federal Highway Administration:						
Highway Planning and Construction Cluster:						
Six Forks Road Pedestrian Improvements	20.205	C-5172	(3,413)	-	-	(3,413)
I-40 Pedestrian Improvements	20.205	C-5504	70,627	-	-	70,627
City wide Traffic Signal Upgrade	20.205	C-4923	1,437,124	-	-	479,042
Capital Boulevard Improvements	20.205	U-5514	80,807	-	-	20,202
Downtown Pedestrian Safety Improvements	20.205	EL-5100IF	(805)	-	-	-
State Planning & Research Funds						
	20.205	WBS47433.1.2				
	20.205	WBS47433.1.3	200,000	50,000	-	-
	20.205	WBS47433.1.1				
Section 104(f) PL	20.205	WBS47615.1.13	656,998	-	-	164,250
Section 104(f) STPDA	20.205	WBS47616.1.8	1,267,469	-	-	455,768
Subtotal Highway Planning and Construction Cluster:			3,708,807	50,000	-	1,186,476
Total Highway Planning and Construction Cluster:			19,816,410	1,914,824	-	4,280,165
Passed-Through North Carolina Department of Transportation: National Highway Traffic Safety Administration:						
Highway Safety Cluster:						
Bikesafe Coordinator	20.616	MC-17-03-04	1,681	-	-	-
Bikesafe Coordinator	20.616	M9MT-18-16-03	1,517	-	-	-
DWI Squad	20.616	M5HVE-17-15-06	42,468	-	-	42,468
DWI Squad	20.616	M5HVE-18-15-04	66,211	-	-	198,633
Total Highway Safety Cluster:			111,877	-	-	241,101
Total Passed-Through North Carolina Department of Transportation:			3,820,684	50,000	-	1,427,577
<b>Total U.S. Department of Transportation</b>			<b>29,308,400</b>	<b>2,198,187</b>	<b>1,044,312</b>	<b>6,552,716</b>
<u>CORPORATION FOR NATIONAL SERVICE</u>						
Direct Programs: Foster Grandparent /Senior Companion Cluster:						
Foster Grandparent Program	94.011	14SFSNC001	250,538	-	-	86,665
<b>Total Corporation for National Service</b>			<b>250,538</b>	<b>-</b>	<b>-</b>	<b>86,665</b>
<u>U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT</u>						
Direct Programs: CDBG Entitlement Grant Cluster:						
Community Development Block Grant - Entitlement	14.218	B15MC370009	174,919	-	71,647	-
Community Development Block Grant - Entitlement	14.218	B16MC370009	404,716	-	165,773	-
Community Development Block Grant - Entitlement	14.218	B17MC370009	336,989	-	138,032	-
Total CDBG Entitlement Grant Cluster:			916,624	-	375,452	-
Direct Programs:						

HOME Investment Partnerships Program	14.239	M13-MC370206	628,570	-	-	129,752
HOME Investment Partnerships Program	14.239	M14-MC370206	342,014	-	-	70,600
HOME Investment Partnerships Program	14.239	M16-MC370206	28,965	-	-	5,979
HOME Investment Partnerships Program	14.239	M17-MC370206	61,272	-	-	12,648
Total HOME Direct Program:			1,060,821	-	-	218,979
Emergency Solutions Grant Program	14.231	E16MC370009	105,206	-	105,206	-
Emergency Solutions Grant Program	14.231	E17MC370009	171,295	-	171,295	-
Total ESG Direct Program:			276,501	-	276,501	-
<b>Total U.S. Department of Housing and Urban Development</b>			<b>2,253,946</b>	<b>-</b>	<b>651,953</b>	<b>218,979</b>

**SCHEDULE OF EXPENDITURES OF FEDERAL AND STATE AWARDS  
FOR THE FISCAL YEAR ENDED JUNE 30, 2017**

<b>GRANTOR/PASSED-THROUGH GRANTOR/GRANTOR PROGRAM AND/OR PROJECT TITLE</b>	<b>Federal CFDA Number</b>	<b>Grant Number</b>	<b>Fed. (Direct &amp; Pass-through) Expenditures</b>	<b>State Expenditures</b>	<b>Pass-through to Subrecipients</b>	<b>Local (1)</b>
<b>U.S. DEPARTMENT OF THE INTERIOR</b>						
Passed-Through N.C. Department of Natural and Cultural Resources:						
Millbrook Exchange CWMTF	N/A	2016-1006	54,896	-	-	13,724
Upper Durant Lake CWMTF	N/A	2017-807	12,888	-	-	5,524
Horse Shoe Farm Nature Preserve Park - PARTF	N/A	Contract No. 6147	250,000	-	-	-
Raleigh Architectural Survey Update	15.904	N/A	10,000	-	-	6,000
Total Passed-Through N.C. Department of Natural and Cultural Resources:			327,784	-	-	25,248
<b>U.S. DEPARTMENT OF JUSTICE</b>						
Direct Programs: JAG Cluster:						
Edward Byrne Memorial Justice Assistance (JAG) 2014	16.738	2014-DJ-BX-0967	17,027	-	16,758	-
Edward Byrne Memorial Justice Assistance (JAG) 2015	16.738	2015-DJ-BX-1012	95,069	-	61,997	-
Edward Byrne Memorial Justice Assistance (JAG) 2016	16.738	2016-DJ-BX-1066	4,494	-	-	-
Subtotal JAG Cluster:			116,590	-	78,755	-
Direct Programs:						
Body-Worn Camera Implementation Program	16.835	2016-BC-BX-K100	169,481	-	-	166,460
Equitable Sharing	16.922	2017	390,362	-	-	-
Total Direct Programs U.S. Department of Justice:			559,843	-	-	166,460
Passed-Through N.C. Department of Public Safety: U.S. Department of Justice:						
JAG Cluster:						
Gang Resource Coordinator	16.738	2014-DJ-BX-1148	9,873	-	-	3,291
Subtotal JAG Cluster:			9,873	-	-	3,291
Total JAG Cluster:			126,463	-	78,755	3,291
Total Passed-Through N.C. Department of Public Safety: U.S. Department of Justice:			9,873	-	-	3,291
<b>Total U.S. Department of Justice</b>			<b>686,306</b>	<b>-</b>	<b>78,755</b>	<b>169,751</b>
<b>ENVIRONMENTAL PROTECTION AGENCY</b>						
Passed-Through N.C. Department of Environmental Quality: Capitalization Grants for Clean Water State Revolving Funds:						
Neuse River WWTP Phase III	66.458	CS-370419-18	(260,454)	-	-	-
Crabtree Creek Wastewater Conveyance	66.458	CS370419-19	3,733,713	-	-	-
Total Clean Water State Revolving Funds:			3,473,259	-	-	-
Total Passed-Through N.C. Department of Environmental Quality:			3,473,259	-	-	-
<b>Total Environmental Protection Agency</b>			<b>3,473,259</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>U.S. DEPARTMENT OF HOMELAND SECURITY</b>						
Direct Programs: FEMA						
Staffing for Adequate Fire and Emergency Response (SAFE) Grant	97.083	EMW-2015-FH-00076	552,909	-	-	-
Assistance to Firefighters Grant	97.044	EMW-2015-FO-01085	-	-	-	500
Total Direct Programs: FEMA:			552,909	-	-	500
Passed-Through N.C. Department of Public Safety: FEMA:						
Flood Mitigation Assistance	97.029	FMA-PJ-04-NC-2014-012	(6,133)	-	-	-
Hazard Mitigation	97.039	HMGP 4167-0008-R	1,548,635	516,212	-	-
Tornado 2011	97.036	FEMA-1969-DR-NC	333,939	-	-	-
Hurricane Matthew 2016	97.036	FEMA-4285-DR-NC	1,137,996	-	-	-
Total Passed-Through N.C. Department of Public Safety: FEMA:			3,014,437	516,212	-	-
Passed-Through N.C. Department of Public Safety: FEMA: Homeland Security Cluster:						
USAR	97.067	EMW-2017-SS-00085-S01	26,500	-	-	-
USAR	97.067	EMW-2015-SS-00062-S01	11,749	-	-	-
Total Homeland Security Cluster:			38,249	-	-	-
Total Passed-Through N.C. Department of Public Safety:			3,052,686	516,212	-	-
<b>Total U.S. Department of Homeland Security: FEMA</b>			<b>3,605,595</b>	<b>516,212</b>	<b>-</b>	<b>500</b>
<b>OFFICE OF NATIONAL DRUG CONTROL POLICY</b>						
Passed -Through Durham County:						
High Intensity Drug Trafficking Area (HIDTA) 2016	95.001	G16GA0004A	5,421	-	-	829
High Intensity Drug Trafficking Area (HIDTA) 2017	95.001	G17GA0004A	19,430	-	-	4,180
<b>Total Office of National Drug Control and Policy</b>			<b>24,851</b>	<b>-</b>	<b>-</b>	<b>5,009</b>
<b>TOTAL EXPENDITURES OF FEDERAL AWARDS:</b>			<b>39,930,679</b>	<b>2,714,399</b>	<b>1,775,020</b>	<b>7,058,868</b>



**SCHEDULE OF EXPENDITURES OF FEDERAL AND STATE AWARDS  
FOR THE FISCAL YEAR ENDED JUNE 30, 2017**

GRANTOR/PASSED-THROUGH GRANTOR/GRANTOR PROGRAM AND/OR PROJECT TITLE	Federal CFDA Number	Grant Number	Fed. (Direct & Pass-through) Expenditures	State Expenditures	Pass-through to Subrecipients	Local (1)
<b>EXPENDITURES OF STATE AWARDS:</b>						
<u><b>N.C. DEPARTMENT OF TRANSPORTATION</b></u>						
Direct Programs: Division of Highways: Powell Bill		WBS32570	-	10,679,016	-	-
Direct Programs: Public Transportation Division: Public Transportation Maint Asst Prog		18-SM-013	-	2,744,469	-	-
Total Direct Programs N.C. Department of Transportation:			-	13,423,485	-	-
Passed-Through Triangle J Council of Governments: Transportation Demand Management Grant		TDMRAL18	-	91,901	-	92,209
Transportation Demand Management Grant		TDMRAL17	-	-	-	5,501
Total Passed-Through Triangle J Council of Governments:			-	91,901	-	97,710
<b>Total N.C. Department of Transportation</b>			-	<b>13,515,386</b>	-	<b>97,710</b>
<u><b>N.C. DEPARTMENT OF PUBLIC SAFETY</b></u>						
Direct Programs: Fire Regional Response Team		RRT42018	-	68,253	-	-
Passed-Through Wake County: Juvenile Crime Prevention Council		2017	-	-	-	383
Juvenile Crime Prevention Council		2018	-	93,350	-	20,373
Total Passed-Through Wake County:			-	93,350	-	20,756
<b>Total N.C. Department of Public Safety</b>			-	<b>161,603</b>	-	<b>20,756</b>
<b>TOTAL EXPENDITURES OF STATE AWARDS:</b>			-	<b>13,676,989</b>	-	<b>118,466</b>
<b>TOTAL EXPENDITURES ALL AWARDS:</b>			<b>\$ 39,930,679</b>	<b>\$ 16,391,388</b>	<b>\$ 1,775,020</b>	<b>\$ 7,177,334</b>

**Notes to the Schedule of Expenditures of Federal and State Financial Awards:**

**Note 1: Basis of Presentation**

The accompanying schedule of expenditures of federal and State awards (SEFSA) includes the Federal and State grant activity of the City of Raleigh under the programs of the federal government and the State of North Carolina for the year ended June 30, 2018. The information in this SEFSA is presented in accordance with the requirements of Title 2 US Code of Federal Regulations Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and the State Single Audit Implementation Act. Because the Schedule presents only a selected portion of the operations of the City of Raleigh, it is not intended to present the financial position, changes in net assets or cash flows of the City of Raleigh.

**Note 2: Summary of Significant Accounting Policies**

The Schedule of Expenditures of Federal and State Awards is prepared on the modified accrual basis of accounting. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of the basic financial statements. Such expenditures are recognized following the cost principles contained in Uniform Guidance, wherein certain types of expenditures are not allowed or are limited as to reimbursement.

The City of Raleigh has elected not to use the 10% de minimis indirect cost rate as allowed under the Uniform Guidance.

Powell Bill expenditures as reported above represent eligible expenditures reported to the North Carolina Department of Transportation for the fiscal year ending June 30, 2018. The City is required to report annually to the North Carolina Department of Transportation on the accumulated unexpended Powell Bill funds. Unexpended Powell Bill funds are accounted for and maintained within various City funds. The total unexpended balance on-hand at June 30, 2018 is \$-0-.

Where allowed by grant agreement, prior year expenditures that have not been previously tested may be included in the Schedule of Awards at June 30, 2018. Generally, this occurs when grants are awarded after the related project or program has started incurring expenses and retroactive reimbursement is allowed per the funding agreement or when corrections are made due to previous year(s) omissions.

Negative current year expenditures represent adjustments to previously reported balances for grant projects completed during FY17.

**Note 3: Loan Balances**

The City of Raleigh had the following loan balances outstanding at June 30, 2018 for which the grantor/pass-through grantor has still imposed continuing compliance requirements. The loan balance outstanding is included in the federal expenditures presented in the schedule. For each program, the outstanding loan balance may differ from expenditures presented in the schedule above due to timing of cash receipts.

Program Name	CFDA Number	Pass-through Grantor's Number	Amount Outstanding
SRF Neuse River WWTP Phase II Revolving Loan	66.458	CS-370419-18	\$24,857,992
SRF Crabtree Creek Wastewater Conveyance Revolving Loan	66.458	CS-370419-19	\$16,112,691

(1) Represents City of Raleigh matching and awards from local governments.



Raleigh